

# ON A VARIETY OF GROUPOIDS OF RANK 1

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**Abstract:** A canonical description of free objects in the variety  $\mathcal{V}$  of groupoids defined by the identity  $xx^2 = x^2x^2$  is given. Injective groupoids in  $\mathcal{V}$  and subgroupoids of free groupoids in  $\mathcal{V}$  are considered. It is shown that the class of free groupoids in  $\mathcal{V}$  is a proper subclass of the class of injective groupoids in  $\mathcal{V}$  and that both classes are hereditary.

## 0. Introduction

Throughout the paper we denote by  $F = (F, \cdot)$  a given absolutely free groupoid with a basis  $B$  (i.e. groupoid free in the class of all groupoids). It is well-known ([1; L.1.5.]) that the following two conditions characterizes  $F$ : a)  $F$  is injective<sup>1)</sup>; b) the set  $B$  of prime elements in  $F$  is nonempty and generates  $F$ .

The subject of this paper is the variety of groupoids of rank 1,<sup>2)</sup> defined by the identity<sup>3)</sup>

$$xx^2 = x^2x^2, \quad (0.1)$$

which we denote by  $\mathcal{V}$ . The paper is divided into three sections.

In Section 1 we give a description of  $\mathcal{V}$ -free groupoids and show that they may be different.

In Section 2, the notion of  $\mathcal{V}$ -injective groupoid (i.e. groupoid injective in  $\mathcal{V}$ ) is introduced. It is shown that: the class of  $\mathcal{V}$ -injective groupoids is hereditary, every  $\mathcal{V}$ -injective groupoid is infinite and the class of  $\mathcal{V}$ -free groupoids is a proper subclass of the class of injective groupoids.

In Section 3 are considered subgroupoids of  $\mathcal{V}$ -free (i.e. free in  $\mathcal{V}$ ) groupoids. We prove that: Bruck Theorem for  $\mathcal{V}$  holds, the class of  $\mathcal{V}$ -free groupoids is hereditary and that every  $\mathcal{V}$ -free groupoid contains a subgroupoid with an infinite basis.

## 1. Free objects in $\mathcal{V}$

For a construction of a free object in a variety  $\mathcal{V}$  of groupoids with an axiom  $f = g$ , the following "procedure" is often convenient. We consider one of the two parts of the axiom of  $\mathcal{V}$  as "more suitable", and, as a candidate for the carrier of the desired free object, we choose the set  $R$  of all elements  $t \in F$ <sup>4)</sup> which contain no parts with a form of the "unsuitable side of the axiom".

<sup>1)</sup> We do not define here the notions as: injective groupoid, prime element, length  $|v|$  and set  $P(v)$  of parts of  $v \in F, \dots$  (see, for example [2]).

<sup>2)</sup> A variety defined by identities which contain only one variable is called a *variety of rank 1*.

<sup>3)</sup> Here we use the usual abbreviations:  $xx^2 = x(xx)$ ,  $x^2x^2 = (xx)(xx)$ .

<sup>4)</sup>  $F = (F, \cdot)$ , as above, is an absolutely free groupoid with the free basis  $B$ .

Here we consider the variety  $\mathcal{V}$  with an axiom  $xx^2 = x^2x^2$ . Choosing the left side as "unsuitable", we obtain:

$$R = \{ t \in F : (\forall \alpha \in F) \alpha\alpha^2 \notin P(t) \} \quad (1.1)$$

By (1.1) immediately we obtain:

a)  $(\forall t, u \in F) \{ tu \in R \Leftrightarrow t, u \in R \ \& \ u \neq t^2 \}$ .

b)  $t, u \in R \Rightarrow \{ tu \notin R \Leftrightarrow u = t^2 \}$ .

c)  $t \in R \Rightarrow (\forall k \in N) t^k \in R$  <sup>6)</sup> ( $t^n$  is defined in  $F$  by:  $t^1 = t, t^{n+1} = t^n t$ ).

Define an operation  $*$  on  $R$  by:

$$t, u \in R \Rightarrow t * u = \begin{cases} tu, & \text{if } tu \in R \\ (t^2)^2, & \text{if } u = t^2 \end{cases} \quad (1.2)$$

By a direct verification we obtain that  $R = (R, *)$  is a groupoid, the equality (0.1) holds in  $R$  (i.e.  $t*(t*t) = (t*t)*(t*t)$  is an identity in  $R$ ) which means that  $R \in \mathcal{V}$  and for any mapping  $\lambda: B \rightarrow G$  <sup>7)</sup>, there is a homomorphism  $\varphi: F \rightarrow G$  which extends  $\lambda$ . Therefore:

**Theorem 1.**  $R = (R, *)$  is a free groupoid in  $\mathcal{V}$  with the basis  $B$ , and  $B$  coincides with the set of primes in  $R$ .

Bellow we will state some properties of the groupoid  $R$ , but first we will consider the groupoid power  $x^{(k)}$ ,  $k \geq 0$ , defined by:

$$x^{(0)} = x, \quad x^{(k+1)} = x^{(k)}x^{(k)} = (x^{(k)})^2 \quad (1.3)$$

By induction on  $m$  and  $n$  one can show that, in any groupoid  $G = (G, \cdot)$ , the following statement is true:

$$(\forall x \in G, m, n \geq 0) (x^{(m)})^{(n)} = x^{(m+n)} \quad (1.4)$$

If  $G \in \mathcal{V}$ , then by (1.3) and (0.1) one obtains:

$$(\forall x \in G, p \geq 0) x^{(p)}x^{(p+1)} = x^{(p+2)}. \quad (1.5)$$

We say that an element  $a \in G$  is a power in  $G$  if there are  $b \in G$  and  $k \geq 1$ , such that  $a = b^{(k)}$ . If  $b \in G$  is not a power in  $G$ , i.e.

$$(\forall c \in G) (c = b^{(p)} \Rightarrow p = 0),$$

then we say that  $b$  is a base in  $G$ .

As a special case of c), one obtains:

c')  $t \in R, k \geq 1 \Rightarrow t^{(k)} \in R$ .

Note that, if  $t \in R$ ,  $t^n$  is the  $n$ -th power of  $t$  in  $F$ ; in this sense,  $t_*^n$  is the  $n$ -th power of  $t$  in  $R$ , defined by:  $t_*^1 = t, t_*^{n+1} = t_*^n * t$ .

From (1.2) and c'), by induction on  $k$ , we obtain:

<sup>5)</sup>  $P(t)$  is the set of parts (i.e. subterms) of  $t$ .

<sup>6)</sup>  $N$  is the set of positive integers.

<sup>7)</sup> The carrier of a given groupoid  $S$  is denoted by the same (light) letter  $S$ .

d)  $(\forall t \in R, k \geq 0) t_*^{(k)} = t^{(k)}$  and, for  $k \geq 1$ :  $t_*^k = t^k$ .

As a consequence of d), we obtain the following two statements.

**Proposition 1.1.**  $(\forall u \in R)(\exists!(t, p) \in R \times N_0)^{8)}$   $u = t_*^{(p)}$ , where  $t$  is a base in  $R$ .

**Proposition 1.2.** a) If  $x \in R \setminus B$  (i.e.  $x$  is not prime in  $R$ ) and if  $x$  is not a power, then there exists a unique pair  $(u, v) \in R^2$ , such that  $x = u * v$ . (In this case  $x = uv$ .)

(We say that  $(u, v)$  is the pair of divisors of  $x$  and write  $(u, v) \mid x$ .)

b) If  $x \in R$  is a power,  $x = t^{(p+1)}$ ,  $p \geq 0$ , then  $x = t^{(p)} * t^{(p)}$ , and  $(t^{(p)}, t^{(p)})$  is the pair of divisors of  $x$ .

The class of groupoids free in  $\mathbb{V}$  will be denoted by  $\mathbb{V}_{free}$ . We will show the following

**Proposition 1.3.** If  $H \in \mathbb{V}_{free}$  with the basis  $B$ , then there exists a mapping  $x \mapsto |x|$  from  $H$  into  $N$  such that

$$|b| = 1, \quad |cd| \geq |c| + |d|, \quad (1.6)$$

for any  $b \in B, c, d \in H$ .

**Proof.** Let  $R = (R, *)$  be the  $\mathbb{V}$ -canonical groupoid with the basis  $B$  (constructed above, Th.1) and let  $x \mapsto |x|$  be the restriction of the mapping  $|\cdot| : F \rightarrow N$ . Let  $t, u \in R$ . Since  $t, u \in F$ , it follows that  $|tu| = |t| + |u|$  and  $|t^2| = 3|t|$ . From (1.2) we obtain:

$$tu \in R \Rightarrow |t * u| = |t| + |u|,$$

$$tu \notin R \Rightarrow u = t^2 \Rightarrow |t * t^2| = |(t^2)^2| = 4|t| > 3|t|.$$

This shows that  $|t * u| \geq |t| + |u|$

Now, let  $H \in \mathbb{V}_{free}$  with the basis  $B$ . Since  $H$  is isomorphic with  $R$ , it follows that (1.6) holds.

**Remark 1.4.** When one decides which side of the identity  $xx^2 = x^2x^2$  to consider as "suitable" one, it is natural to choose the "shorter" side, i.e.  $xx^2$ , and expect a shorter construction of  $\mathbb{V}$ -free groupoid. However, it turns out the opposite, the construction is longer and more complicated.

Namely, let the first candidate for the carrier of  $\mathbb{V}$ -free groupoid be the set  $F_1$ , defined by:

$$F_1 = \{ t \in F : (\forall \alpha \in F) (\alpha^2)^2 \notin P(t) \}.$$

<sup>8)</sup>  $N_0$  is the set of nonnegative integers.

If we define an operation  $*_1$  on  $F_1$  by:

$$t, u \in F_1 \Rightarrow t *_1 u = \begin{cases} tu, & \text{if } tu \in F_1 \\ \alpha\alpha^2, & \text{if } t = u = \alpha^2 \end{cases}$$

then we obtain that  $F_1 = (F_1, *_1)$  is a groupoid. However, the equality (0.1), which has the form here

$$t *_1 (t *_1 t) = (t *_1 t) *_1 (t *_1 t) \quad (1.7)$$

is not satisfied in  $F_1$ , i.e.  $F_1 \notin \mathcal{V}$ . Namely, if  $t = \alpha^2$ , the left side of (1.7) is  $\alpha^2(\alpha\alpha^2)$  and the right one is  $(\alpha\alpha^2)^2$ . This result implies that

$$\alpha^2(\alpha\alpha^2) = (\alpha\alpha^2)^2 \quad (1.7')$$

is an identity in  $\mathcal{V}$ . This suggests a definition of a new "candidate"  $F_2 = (F_2, *_2)$ :

$$F_2 = \{ t \in F_1 : (\forall \alpha \in F_1) (\alpha\alpha^2)^2 \notin P(t) \},$$

$$t, u \in F_2 \Rightarrow t *_2 u = \begin{cases} t *_1 u, & \text{if } t *_1 u \in F_2 \\ \alpha^2(\alpha\alpha^2), & \text{if } t = u = \alpha\alpha^2 \end{cases}$$

Checking (1.7) (when  $*_1$  is substituted by  $*_2$ ), we obtain  $F_2 \notin \mathcal{V}$  and one more identity in  $\mathcal{V}$ :

$$(\alpha\alpha^2)(\alpha^2(\alpha\alpha^2)) = ((\alpha^2(\alpha\alpha^2))^2) \quad (1.7'')$$

Continuing this procedure, we can see a regularity in the consequences of the identity (1.7), which suggests to introduce a special kind of groupoid power  $x \mapsto x^{<n>}$ , defined by:

$$x^{<0>} = x, \quad x^{<1>} = x^2, \quad x^{<k+2>} = x^{<k>} x^{<k+1>}. \quad (1.8)$$

Using this, we define the following infinite set of groupoids:

$$\{F_n = (F_n, *_n) : n \geq 1\},$$

$$F_1 = \{ t \in F : (\forall \alpha \in F) (\alpha^{<1>})^2 \notin P(t) \},$$

$$t, u \in F_1 \Rightarrow t *_1 u = \begin{cases} tu, & \text{if } tu \in F_1 \\ \alpha\alpha^2, & \text{if } t = u = \alpha^{<1>} \end{cases}$$

$$F_{n+1} = \{ t \in F_n : (\forall \alpha \in F_n) (\alpha^{<n>})^2 \notin P(t) \},$$

$$t, u \in F_{n+1} \Rightarrow t *_n u = \begin{cases} t *_n u, & \text{if } tu \in F_{n+1} \\ \alpha^{<n+2>}, & \text{if } t = u = \alpha^{<n+1>} \end{cases}$$

One can show that  $F_{n+1}$  is a groupoid such that  $F_{n+1} \notin \mathcal{V}$ .

Using the fact that  $F \supseteq F_1 \supseteq \dots \supseteq F_n \supseteq \dots$  and that  $F_{n+1}$  is "better" than  $F_n$ , we obtain the following definition of the carrier  $R'$  of a free groupoid in  $\mathcal{V}$ :

$$R' = \{ t \in F : (\forall \alpha \in F, n \geq 1) (\alpha^{<n>})^2 \notin P(t) \} (= \bigcap \{F_n : n \geq 1\}).$$

(Note that it is not necessary to define the whole sequence, because the desired "good candidate" can be noticed after several steps.)

We define an operation  $*$  on  $R'$  by

$$t, u \in R' \Rightarrow t * u = \begin{cases} tu, & \text{if } tu \in R' \\ \alpha^{\langle n+1 \rangle}, & \text{if } t = u = \alpha^{\langle n \rangle}, n \geq 1 \end{cases}$$

and obtain:

**Proposition 1.5.**  $R'$  is a  $\mathbb{V}$ -free groupoid with the basis  $B$ .

Therefore, there exist at least two distinct  $\mathbb{V}$ -free groupoids,  $R$  and  $R'$ . Since  $R$  and  $R'$  have the same basis  $B$ , they are isomorphic.

## 2. Injective groupoids in $\mathbb{V}$

We obtain the class of injective groupoids in  $\mathbb{V}$  from the class of  $\mathbb{V}$ -free groupoids in the same way as in the variety of all groupoids (and, namely, by omitting the condition that the set of primes is a generating set).

Using Pr.1.1 – 1.2, we come to the following definition of injective groupoids in  $\mathbb{V}$ .

We say that a groupoid  $H = (H, \cdot) \in \mathbb{V}$  is *injective in  $\mathbb{V}$*  (i.e.  $\mathbb{V}$ -injective) iff the following conditions are satisfied:

(i<sub>1</sub>)  $(\forall a \in H)(\exists!(b, p) \in H \times N_0) a = b^{(p)}$  and  $b$  is a base in  $H$ .

(We say that  $b$  is the *base* and  $p$  the *exponent* of  $a$ .)

(i<sub>2</sub>)  $b^{(p+2)} = cd$  iff  $[c = d = b^{(p)}$  or  $(c = b^{(p)} \ \& \ d = b^{(p+1)})]$ .

(ii) If  $b$  is a base in  $H$ , then:  $b^{(1)} = cd \Leftrightarrow c = d = b$ .

(iii) If  $a \in H$  is a base which is not prime in  $H$ , then

$$(\exists!(c, d) \in H^2) \quad a = cd, c \neq d.$$

The pair of divisors  $(c, d)$  of an element  $a \in H$  which is not prime in  $H$  (we write:  $(c, d) \mid a$ ) is defined as follows.

1) If  $b$  is a base in  $H$  and  $p \geq 0$ , then  $(b^{(p)}, b^{(p)}) \mid b^{(p+1)}$ .

2) If  $a = cd$  is a base in  $H$ , then  $(c, d) \mid a$ .

We denote by  $\mathbb{V}_{inj}$  the class of injective groupoids. By Pr.1.1–1.2 we obtain:

**Proposition 2.1.**  $\mathbb{V}_{free} \subseteq \mathbb{V}_{inj}$ .

**Proposition 2.2.** Let  $H \in \mathbb{V}_{inj}$ ,  $Q \leq H$ ,  $a = b^{(p)} \in Q$  and  $b \notin Q$ , where  $b$  is the base of  $a$  in  $H$ . If  $r = \min\{k : b^{(k)} \in Q\}$ , then  $b^{(r)}$  is a prime in  $Q$ .

As a corollary of Pr.2.2, we obtain the following

**Proposition 2.3.** The class  $\mathbb{V}_{inj}$  is hereditary.

If  $c^{(p)} = d^{(q)}$  and  $c, d$  are bases in  $H \in \mathbb{V}_{inj}$ , then  $c = d$  &  $p = q$ . Therefore, if  $a$  is a base in  $H$ , then the powers  $a^{(n)}$ ,  $n \geq 1$ , are mutually distinct and thus the set  $\{a, a^{(1)}, a^{(2)}, \dots\}$  is infinite. Therefore:

**Proposition 2.4.** Every  $H \in \mathcal{V}_{inj}$  is infinite.

Below we will give a construction of  $\mathcal{V}$ -injective groupoids (and show that there are  $\mathcal{V}$ -injective groupoids which are not  $\mathcal{V}$ -free).

Let  $A$  be an infinite set and  $H = A \times \mathbb{N}_0$ . Define a partial operation  $\bullet$  on  $H$  by:

$$\begin{aligned}(a, p) \bullet (a, p) &= (a, p+1), \\ (a, p) \bullet (a, p+1) &= (a, p+2)\end{aligned}$$

and put

$$D = \{((a, p), (b, q)) : a \neq b \text{ or } (a = b \ \& \ q \notin \{p, p+1\})\}.$$

Since  $A$  and  $D$  have the same cardinality, there is an injection  $\varphi: D \rightarrow A$  and we can put

$$(\forall (a, p), (b, q) \in D) \ (a, p) \bullet (b, q) = (\varphi((a, p), (b, q)), 0).$$

Then we obtain that  $(H, \bullet)$  is a groupoid injective in  $\mathcal{V}$ .

If  $\varphi$  is a bijection, then the set  $A \times \{0\} \setminus \text{im } \varphi$  of primes in  $H$  is empty, and thus  $(H, \bullet)$  is not free in  $\mathcal{V}$ .

This and Cor.2.1 proves the following

**Theorem 2.** The class  $\mathcal{V}_{free}$  is a proper subclass of  $\mathcal{V}_{inj}$ .

### 3. Subgroupoids of $\mathcal{V}$ -free groupoids

In this section we will show that the class  $\mathcal{V}_{free}$  is hereditary, but first we will give a characterization of  $\mathcal{V}$ -free groupoids (analogous to a), b) in Introduction, for absolutely free groupoids).

**Theorem 3 (Bruck Theorem for  $\mathcal{V}$ ).** A groupoid  $H \in \mathcal{V}$  is  $\mathcal{V}$ -free iff:

- (i)  $H$  is  $\mathcal{V}$ -injective.
- (ii) The set  $B$  of primes in  $H$  is nonempty and generates  $H$ .

*Proof.* If  $H$  is  $\mathcal{V}$ -free with the basis  $B$ , then  $H \in \mathcal{V}_{inj}$  (by Th.2),  $B$  is the set of primes in  $H$  and generates  $H$ .

For the converse, define an infinite sequence of subsets  $B_1, B_2, \dots$  of  $H$ :

$$B_1 = B, \quad B_2 = \{cd : c, d \in B_1\},$$

$$B_{k+1} = \{a \in HH : (c, d) \mid a \Rightarrow \{c, d\} \subseteq B_1 \cup \dots \cup B_k \ \& \ \{c, d\} \cap B_k \neq \emptyset\}.$$

Then the following statements are true:

- 1)  $(\forall k \geq 1) B_k \neq \emptyset$ ; 2)  $p \neq q \Rightarrow B_p \cap B_q = \emptyset$ ; 3)  $H = \bigcup \{B_k : k \geq 1\}$ .

Let  $G \in \mathcal{V}$  and  $\lambda : B \rightarrow G$  be a mapping. Defining a sequence of mappings  $\varphi_k : B_k \rightarrow G$  inductively ( $\varphi_1 = \lambda$ ; ...) and continuing in a similar way as in [2; Th.1], one can show that the mapping  $\varphi = \bigcup \{\varphi_k : k \geq 1\}$  is a homomorphism from  $H$  into  $G$  which extends  $\lambda$ .

Below we assume that  $H \in \mathcal{V}_{free}$  and  $Q$  is a subgroupoid of  $H$ .

**Proposition 3.1.** The set  $P$  of primes in  $Q$  is nonempty and generates  $Q$ .

**Proof.** By Pr.1.3, there is a mapping in  $H$  with the property (1.6). Let  $c \in Q$  be such that

$$|c| = \{\min |a| : a \in Q\} \quad (3.1)$$

By (1.6) and (3.1),  $c$  is prime in  $Q$ . Thus the set  $P$  of primes in  $Q$  is nonempty.

Denote by  $T$  the groupoid generated by  $P$ . Clearly,  $T \subseteq Q$ . We will show that  $Q \subseteq T$ , using induction on length.

First of all,  $P \subseteq T$ . If  $b \in Q$  and  $|b|=1$ , then  $b$  is prime in  $H$ , and thus  $b$  is prime in  $Q$ , i.e.  $b \in P$ . Therefore  $b \in T$ . Suppose  $c \in Q$  &  $|c| \leq k \Rightarrow c \in T$ .

Let  $c \in Q$  and  $|c|=k+1$ . If  $c \in P$ , then  $c \in T$ . Therefore let  $c \in Q \setminus P$ . Then  $c = de$  ( $d, e \in Q$ ), and:

$$|c| = |de| \geq |d| + |e| \Rightarrow |d|, |e| \leq k \Rightarrow d, e \in T \Rightarrow c = de \in T.$$

Thus  $Q \subseteq T$  and therefore  $P$  generates  $Q$ .

**Proposition 3.2.**  $Q \in \mathcal{V}_{free}$ .

**Proof.** By Pr.2.1,  $H \in \mathcal{V}_{free}$  implies  $H \in \mathcal{V}_{inj}$ , and by Pr.2.3,  $Q \in \mathcal{V}_{inj}$ . Now, applying Bruck Theorem for  $\mathcal{V}$  we obtain that  $Q \in \mathcal{V}_{free}$ .

As a corollary of Pr.3.2 we obtain

**Proposition 3.3.** *The class  $\mathcal{V}_{free}$  is hereditary.*

Finally, we will prove the following

**Proposition 3.4.** *If  $H \in \mathcal{V}_{free}$ , then there is a subgroupoid  $Q$  such that  $Q$  has an infinite basis.*

**Proof.** Let  $B$  be the basis of  $H$  and  $a \in B$ . Put

$$c_1 = a \cdot a, \quad c_2 = c_1 a, \dots, \quad c_{k+1} = c_k a, \dots$$

and let  $Q$  be the subgroupoid of  $H$  generated by the set  $C = \{c_k : k \geq 0\}$ . Then: 1)  $C$  is infinite; 2) all the elements in  $C$  are prime in  $Q$ ; 3)  $C$  is a basis of  $Q$ .

Namely, the elements of  $C$  are mutually distinct ( $c_p = c_q \Rightarrow p = q$ ) and thus  $C$  is infinite. Secondly, every element  $c_k \in C$  is a base in  $Q$ :  $c_1 = aa = a^2$ , but  $a \notin Q$  and thus  $c_1$  is a base in  $Q$ ;  $c_1 = a^2 a = a^3$ ,  $a^2 \in Q$ , but  $a \notin Q$ , and thus  $c_2$  is a base in  $Q$ ; inductively,  $c_{k+1} = a^k a$  is a base in  $Q$ . Thirdly,  $C$  is the set of primes in  $Q$ ,  $C \neq \emptyset$  and generates  $Q$ . Therefore,  $C$  is the basis of  $Q$ .

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